## U.S. - EGYPT JOINT BOARD ON SCIENTIFIC AND TECHNOLOGICAL COOPERATION

Conditions for Undertaking and Financing Joint Science and Technology Activities

### PROGRAM ANNOUNCEMENT

For

#### **JOINT RESEARCH GRANTS**

Deadline for Submission of Research Grant Proposals

**October 3, 2006** 

U.S. - EGYPT JOINT BOARD • JULY 2006

http://egypt.usembassy.gov/usegypt.htm

### TABLE OF CONTENTS

FOREWO	ORD	1
GENERA	L REMARKS	3
	articipants	
	Types of Activities	
	Lesearch Priorities	
RESEAR	CH GRANT PROPOSALS	
Appli	CATION PROCEDURES, BUDGET, AND PROPOSAL FORMAT	4
A	Application Procedures	4
В	Budget	5
P	roposal Format	6
Addi	TIONAL PROVISIONS	11
R	Leview and Evaluation	11
R	Lenewals and No-Cost Extensions	12
	Declinations, Returns, and Withdrawals	
N	Notice of Grant Award	13
F	unds/Project Administration	13
G	Grant Terms and Conditions	14
R	Reporting Requirements	15
ATTA	ACHMENTS	
I.	Project Proposal Cover Page and Instructions	
II.	Proposal Abstract	
III.	Budget Format	
IV.	Curricula Vitae Format	
V.	Proposal Screening Checklist	
VI.	Rating/Review Form	
VII.	Award Letter Format	
VIII.	Intellectual Property Rights Issues	
IX.	Priority Research Areas Form	
Χ.	Program Contacts List	
XI.	Human Subject Research	
XII.	. Support Letters	

#### FOREWORD (TC "FOREWORD")

The U.S. - Egypt Science and Technology (S&T) Joint Fund was established under an Agreement between the Government of the United States of America and the Government of the Arab Republic of Egypt on Science and Technology Cooperation to strengthen scientific and technological capabilities between Egypt and the United States. Its rationale is that international cooperation in science and technology will expand relations between the extensive scientific and technological communities in both countries, and promote scientific and technological cooperation in areas of mutual benefit. The objectives of this cooperation are to help Egypt and the U.S. utilize science and apply technology by providing opportunities to exchange ideas, information, skills, and techniques and to collaborate on scientific and technological endeavors of mutual interest.

The Joint Fund falls under the umbrella of the U.S.-Egypt Partnership for Economic Growth and Development, which aims to promote equitable economic growth and private sector job creation in Egypt through a broadening and deepening of the economic relationship between Egypt and the United States and enhancement of linkages between the private sectors of both countries.

The Joint Fund is governed by the U.S. - Egypt Joint Board, as provided for under the Agreement. The Joint Board is composed of six members each from the U.S. and Egypt, including the U.S. and Egyptian Executive Agents and appropriate representatives from participating scientific agencies in both countries. The composition of the Joint Board is detailed in the Agreement.

The Joint Board determines priorities among fields of cooperative activities on the basis of the mutual interests of both countries, recommends overall policies for the program, identifies areas and forms of cooperation, approves the cooperative activities to receive funds, and prepares reports to the Secretary of State and Minister of Scientific Research. In so doing, the Joint Board shall consider the needs and capabilities of the private sector in each country.

The Joint Board will entertain all proposals that meet the criteria of this document. Special consideration will be given to proposals that address priority areas determined by the Joint Board.

Joint Fund support for research and development cooperation takes the form of

- 1.a. Dual-Cooperator research grants (max budget of \$60,000),
- 1.b. Multi-Cooperator research grants (, multi-disciplinary research teams, max budget of \$180,000 only two grants per year will be awarded)
- 2. Workshop grants and
- 3. Junior Scientist Visits

Grants are awarded on the basis of an evaluation and review of detailed joint proposals from Egyptian and U.S. researchers.

With the exception of the Multi-Cooperator grants, the Joint Fund is designed to support the add-on costs of bilateral cooperation. It is not a primary source of funds for domestic activities in either country. While Joint Fund grants are relatively modest, they provide financial resources dedicated to promote international cooperation. Accordingly, grant applications

submitted to the Joint Board should include strong elements of mutual interest, mutual benefit, high conceptual and scientific quality, and mutual commitment of resources, and should be consistent with the science and technology policies of each country.

Joint activities will be carried out on the basis of arrangements concluded between cooperating organizations after evaluation and review by participating technical agencies of both governments and approval by the Joint Board. Joint activities will be in accordance with the international obligations, national laws, and regulations of the Arab Republic of Egypt and the United States of America.

Science and technology activities funded from sources other than the U.S. - Egypt Joint Fund shall be governed by those agreements or implementing instruments concluded directly between the interested agencies.

Applications consisting of an appropriately detailed technical proposal and supporting documentation shall be evaluated on the basis of technical merit, degree of cooperation, plan of work and preliminary budget.

## GENERAL REMARKS{TC "SECTION I. GENERAL REMARKS"}

#### PARTICIPANTS (TC "PARTICIPANTS" \L 2)

Researchers from U.S. and Egyptian scientific institutes, universities, scientific societies, private sector research and development centers, and governmental agencies are eligible to apply. Individuals in either country may initiate proposals.

#### TYPE OF ACTIVITY (TC "TYPES OF ACTIVITIES" \L 2)

Cooperative activities may include coordinated and joint research projects, studies, and investigations; exchange of science and technology information and documentation in the context of cooperative activities; exchange of scientists, specialists, and researchers; exchanges or sharing of equipment or materials; and other forms of scientific and technological cooperation as may be agreed by the Joint Board.

Implementation of these activities may include, *inter alia*, the following modalities:

- 1. Mutual assistance in structuring and implementing S&T policy and management that support an appropriate role for the private sector in both countries;
- 2. Cooperation in creating or enhancing advanced technology and mechanisms to transfer technology to the private sector;
- 3. Cooperation in activities that encourage the private sector application and adaptation of technology.

Within these modalities, cooperation may be implemented through the following:

Joint Research and Development Projects. Work on joint research and development projects may be performed at institutions in either or both countries. Projects are conducted under the general direction of an Egyptian and a U.S. coordinator. Apart from being the principal investigator, each coordinator is responsible for managing the different activities of the research project and organizing the efforts of the members of the research team on his side. Both coordinators are responsible for submitting joint technical reports annually as well as a final report. Project duration usually is two to three years but may vary with the kind of activity carried out. Projects may be extended beyond the final year on the basis of an approved renewal request. Annual progress and fiscal reports are required (see "Reporting Requirements").

#### RESEARCH PRIORITIES (TC "PRIORITIES" \L 2)

The Joint Board has endorsed the priorities for joint activities as follows:

- Biotechnology
- Environmental Technologies
- Information Technology

- Standards & Metrology
- Manufacturing Technologies
- Energy
- Other Fields (e.g. Geology, Anthropology, New Materials & Nanotechnology, Economics, other Social Sciences etc.)

## U.S.-EGYPT JOINT SCIENCE & TECHNOLOGY BOARD JOINT RESEARCH GRANT PROPOSALS

#### APPLICATION PROCEDURES (TC "APPLICATION PROCEDURES" \L 2)

- Applications consisting of an appropriately detailed technical proposal will be evaluated on the basis of technical merit and a preliminary budget. Applications for Joint Fund grants must take the form of a written proposal in English. These proposals should be jointly developed by interested experts of both countries. Ideally, the Egyptian and U.S. participants will already be familiar with each other or enjoy a working relationship. It is the task of these partners to develop the joint proposal. This collaboration should be evident in the proposal or in attachments of supporting correspondence. The project proposal should first be supported and cleared by the home institutions of both the Egyptian and U.S. coordinators (and cooperators).
- Proposals may be submitted at any time, but in order to be considered by the next Board session, not later than the established deadline. The 2006 deadline is October 3, 2006. Proposals must be postmarked by this date.
- Approval of new projects and funding decisions will normally be made once a year at a meeting of the Joint Board. Ordinarily, the Executive Agents (the U.S. Department of State and the Egyptian Ministry of Scientific Research) shall make interim decisions concerning new project development visits and other business by official correspondence.
- Participating technical agencies will conduct the proposal evaluation and develop a priority list of approved proposals. This list will be submitted to the Joint Board for final selection.
- The Program Administrators shall act as the administrators for the purpose of receiving proposals, assuring conformity of the proposal with established guidelines and screening out proposals that do not meet these guidelines, and processing formal proposals for technical review. Six copies of the formal proposal as well as two copies on 2 CDs, submitted from either side, should be directed to either Program Administrator. The American and Egyptian Program Administrators are the primary contacts for proposal submission or program inquiries in the U.S. Egypt S&T Joint Board Program:

#### For U.S. Researchers Submitting

Joan Mahoney, S&T Coordinator
U.S. Agency for International Development
USAID Building
Ahmed Kamel Street
Off Laselki St.
New Maadi, Cairo, Egypt
Tel. +(20) (2) 522-7000

Fax: +(20) (2) 522-7041 mahoneyjm@state.gov

#### For Egyptian Researchers Submitting

Dr. Mohamed Swellam
Egyptian Program Administrator
Ministry of Scientific Research
101 Kasr El Aini Street, 12<sup>th</sup> Floor, Cairo
Tel. (20) (2) 792-1323/4;
Fax (20) (2) 792-1325
E-mail: stc@asrt.sci.eg

- After ensuring that the proposal meets the basic requirements of the Program Announcement, the Program Administrators will then forward copies of the proposal to the appropriate participating technical agencies of both countries for simultaneous review in accordance with the evaluation criteria listed in the section on "Review and Evaluation." Applicants will be notified of the identity number of their proposal in a letter of acknowledgment from the Program Administrators.
- Each proposal must have partner coordinators and institutions from the United States and Egypt. The proposal must describe the nature and degree of cooperation between partners and clearly define the role of each. The proposal must clearly demonstrate the significance and mutual benefit of the proposed activity to the United States and Egypt. Proposals that identify the participation of junior scientists (undergraduate, graduate, or researchers within 10 years of receiving their Ph.D.) in the proposed research from one or both sides of the collaboration will receive higher ranking than those who do not include this participation. The proposal must also clearly demonstrate how the activity will benefit the private sector, and if appropriate, how the technology will be transferred to the private sector. Technical merit and technical competence of cooperators must be clearly demonstrated, as well as the feasibility of the project to be achieved (given proposed techniques, requested budget, proposed time frame, etc.). The proposers must ensure that appropriate infrastructure already exists within the proposing institutions to conduct the proposed project. The total requested budget (U.S. and Egypt costs combined) must not exceed U.S.\$60,000 for Dual-Cooperator grants and \$180,000 for Multi-Cooperator grants for the entire grant period.
- Proposals that do not meet the basic requirements laid out in the Program Announcement will be screened out by the Program Administrators at the beginning of the grant cycle and will not be forwarded to the technical agencies for review.
- A "Proposal Screening Checklist" (Attachment V) is used by the Program Administrators to determine whether or not a proposal meets these requirements. For example, proposals that are sent after the submission deadline, lack the signatures of an Egyptian or U.S. cooperator, or do not contain any one of the essential elements included in the Checklist, will automatically be screened out. All applicants should carefully review the Proposal Format guidelines below, as well as the attached Checklist.
- No individual applicant may submit more than one research proposal per cycle.
- The Joint Board will not approve more than one grant for the same applicant during two consecutive funding cycles.

#### BUDGET {TC "BUDGET " \L 2}

Research Grant applications must include a summary budget in U.S. dollars for U.S. and Egypt costs, covering the duration of the proposed project, and separate budget breakdowns for each individual year of support requested. Support may be requested for a maximum of three years. The total requested budget for the entire grant period (U.S. and Egypt costs combined) must not exceed U.S.\$60,000 for Dual-Cooperator grants and \$180,000 for Multi-Cooperator grants.

Applicants *must* calculate all costs in U.S. dollars and provide a narrative justification for budget items over \$1,000.

In developing the budget, the coordinators (and cooperators) should focus on what additional funds, in Egypt and the U.S., they would need to add to existing sources of support in order to allow mutually beneficial collaboration to take place. The Egypt and U.S. budget requests need not be equal. However, in order to achieve overall balance in the Joint Fund Program, the U.S. and Egypt costs for projects that are selected for funding, as a group, should be balanced between U.S. and Egyptian financial contributions. For this reason, once funding decisions have been made by the Joint Board, the amounts awarded to the U.S. and Egyptian coordinators may be adjusted in order to reflect the total amounts available in the Joint Fund on the U.S. and Egyptian sides.

Once approved, multi-year projects require updated and brief annual performance and fiscal reports, which must follow the format and timeline laid out in this Announcement under "Reporting Requirements."

Unspent grant funds from one year are carried over to the next year but must be spent within the project's duration or returned.

Summary of Allowable Costs for Research Grants. Costs which may be covered under the Joint fund include: travel and per diem, materials and supplies, equipment, publications, temporary post doctoral or graduate student assistance (PI salary is not allowed), delivery and shipping costs, and other costs associated with the performance of the project. The agreed costs of purchase and installation of apparatus and technical instrumentation essential to the work agreed upon in the project may also be covered from the Joint Fund. Irrespective of this, the U.S. experts and their affiliates may loan to Egyptian grantee institutions unique apparatus or equipment unavailable on the Egyptian market. Where possible, the cost of transporting and insuring such apparatus or equipment may be covered by the project award.

*Indirect Costs.* The Joint Board strongly discourages indirect costs. Nevertheless, if necessary, infrastructure costs may be considered for research grants but may not exceed 14 percent of the direct cost budget.

#### PROPOSAL FORMAT' \L 2}

The Project Proposal for Research Grants must include all of the elements listed below. *U.S.* applicants whose proposals will be reviewed by the National Science Foundation must also be prepared to submit their proposals in electronic format through NSF's Fastlane Internet site (www.nsf.gov). After receipt and screening of the Joint Board proposals in Cairo, the American Administrator will contact the concerned applicants and request that they proceed with NSF Fastlane proposals.

According to the type of grant (a. Dual-Cooperator, b. Multi-Cooperator), the Proposal Formats A and B should include the following:

### Proposal Format "A" Dual-Cooperator Grants (\$60,000)

#### SECTION I. COVER PAGE:

1. Cover Page signed by *both* coordinators and their institutional representatives and stamped with the Egyptian institution stamp (Attachment I.a. ).

#### SECTION II. NARRATIVE PROPOSAL:

The narrative section of the proposal must not exceed 15 single-spaced typed pages.

- 2. Table of Contents (maximum 1 page)
- 3. Background (maximum 2 pages)
- 4. Project Abstract (one paragraph, Attachment II) for research proposals,
- 5. Statement of Scientific or Technical Problem (maximum 1 page)
- 6. Project Description and *Detailed* Plan of Work (4 pages)
- 7. Statement of the Role of Each Coordinator (maximum 1 page)
- 8. Statement of the Nature/Degree of Collaboration (maximum 1 page)
- 9. Statement on the Participation of Junior Scientists (maximum 1 page)
- 10. List of Facilities Involved/Available

#### SECTION III. BUDGET:

11. Summary Budget and Detailed Annual Budgets, calculated in U.S. dollars (see budget format in Attachment III.a.). The total requested budget (U.S. and Egypt costs combined) must not exceed U.S. \$60,000 for the entire grant period. The budget sheet must be signed by both coordinators and stamped with the Egyptian institution stamp.

#### SECTION IV. ATTACHMENTS:

- 12. Curricula Vitae of *both* U.S. and Egyptian coordinators (maximum 2 pages per person, see Attachment IV)
- 13. Bibliography/References
- 14. Supporting Correspondence from Institutions
- 15. Priority Research Area Selection Sheet (see Attachment IX)

#### **Explanation of Proposal Sections**

- 1. Cover page. The required format is given in Attachment I.a. Its essential elements are:
  - Title of proposed project.
  - Brief, professionally informative title.
  - Complete names, mailing addresses, phone, fax, and e-mail of coordinators.
  - Signatures of the U.S. and Egyptian coordinators as well as of an official authorized to represent the grantee institutions in administrative and financial affairs and stamped with the Egyptian institution stamp (Attachment I.a.). *Proposals lacking these signatures and endorsements will be considered incomplete. Lack of signatures*

- and the Egyptian institution stamp is a top reason that proposals fail to advance to the review process.
- U.S. applicants are requested to check the box identifying the U.S. technical agency, if any, with which they have previously been in contact regarding the proposal. Proposals may be directed to this agency for review.
- Total estimated budget and annual budget subtotals for multi-year projects, calculated in U.S. dollars. The total requested budget (U.S. and Egypt costs combined) must not exceed U.S \$60,000 for the entire grant period. The budget format is included as Attachment III.a. of this Announcement.
- Total planned duration of the activity (maximum three years).
- 2. Table of Contents. Maximum 1 page.
- **3. Background**. Maximum 2 pages. This section should provide an informative background of the subject to be addressed in the project, summarize previous scientific research on the topic, and describe other ongoing projects or efforts that this project would complement. The Background should also explain how the U.S. and Egyptian coordinators came to work together on this proposal.
- **4. Project Abstract (for Research Proposals).** The Project Abstract is a condensed version of the Project Description a short summary in English and Arabic in terms comprehensible to an informed layman, not exceeding 1 paragraph (see Attachment II).
- 5. Statement of Scientific or Technical Problem. Maximum 1 page. The proposal should concisely state the scientific or technical problem to be addressed by the project. This section must also identify the potential future applications or uses of the proposed research.
- 6. Project Description and Detailed Plan of Work. Maximum 4 pages. The Project Description is the core of the proposal. It must satisfactorily answer the questions what, why, how, who, where and when. It consists of a thorough narrative statement about the nature and significance of the technical problem, and the hypothesis, objectives, and methodology of the proposed work. A well prepared Project Description does the following:
  - Relates the proposal to the present state of knowledge in the field.
  - Specifies the technical approach and experimental methodologies and procedures to be followed.
  - Outlines a detailed Plan of Work.
  - Identifies the participants.
  - Details the expertise of the respective U.S. and Egyptian cooperators.
  - The proposal must also clearly demonstrate how the activity will benefit the private sector, and if appropriate, how the technology will be transferred to the private sector.
- 7. Statement of the Role of Each Coordinator. Maximum 1 page. This section should clearly state the role of each principal investigator, including a description of the complementary tasks to be performed by the U.S. and Egyptian cooperating persons and institutions.

- **8. Statement of the Nature/Degree of Collaboration.** Maximum 1 page. This section defines the cooperative aspects of the proposed project. It should:
  - Describe the manner in which the joint activity manifests itself, e.g., joint contributions, complementary research, exchange of materials or equipment, use of special research facilities, exchange of scientists, etc.
  - Describe previous cooperation, if any, between the principal investigators with explicit references to previous joint projects.
  - Explain the benefits of the international cooperation (e.g., complementary research, sharing of facilities, unique research, environment, respective roles of the U.S. and Egyptian cooperators in the work plan, benefit to the private sector/industry, etc.).
- 9. Statement on the Participation of Junior Scientists. Maximum 1 page. This section describes junior scientist involvement and participation in the proposed project. For reference, junior scientists include undergraduate or graduate students or researchers who received their PhD within the last 10 years. The participation of such junior scientists should enhance their scientific development, and they should be actively engaged in the joint research project. Further, it is encouraged that these young scientists be able to visit the counterpart laboratories during the project, and that their names be included in the proposal application.
- 10. List of Facilities Involved/Available. This section lists and describes the facilities and instrumentation to be used. It explains how their use will contribute to collaboration between the principal investigators, if applicable. It must include a statement about the use of human subjects or tissues or animals, if these are to be used.
- 11. Summary Budget and Detailed Annual Budgets. The use of the budget format given in Attachment III.a. is required.
  - Proposals must include a cumulative summary budget covering the duration of the proposed project, as well as separate itemized budgets for each year of support requested.
  - Both U.S. and Egyptian costs must be calculated in U.S. dollars.
  - Budget page must be signed by both cooperators and stamped with the Egyptian institution stamp.
  - Applicants must provide a narrative justification for budget items over \$1,000.
  - The total requested budget (U.S. and Egypt costs combined) must not exceed U.S. \$60,000 for the entire grant period. Proposals whose budgets exceed U.S.\$60,000 will be screened out by the Program Administrators and will not be forwarded to the technical agencies for review.
- 12. Curricula Vitae. Maximum 2 pages per person. The Curriculum Vitae of the U.S. and Egyptian coordinators should follow the format provided in Attachment IV.
- 13. Bibliography/References. A Bibliography of literature pertinent to the proposed activity, footnoted as appropriate, is required.

- 14. Supporting Correspondence. Proposals should also include supporting correspondence usually a letter from the U.S. or Egyptian counterpart, depending on who submits the proposal expressing professional interest in the topic and personal willingness to cooperate in the proposed project. If the cooperator is participating fully in the project (i.e., also receiving funds to support the project), then that cooperator must provide the same institutional clearances as the partner cooperator, as detailed in the Cover Page.
- 15. Priority Research Areas. The Priority Research Areas sheet provided in Attachment X allows the applicant to identify the specific research area of the proposed project and helps the Joint Fund Administrators to direct the proposal to the appropriate technical agencies for review. All applicants must check one box. For research areas considered Other Fields (e.g. geology, anthropology, economics, new materials and nanotechnology, other Social Sciences etc.), please write-in the appropriate topic in section G.

### Proposal Format "B" Multi-Cooperator Grants (\$180,000)

This year only two multi-disciplinary, multi-Cooperator and possibly multi-institutional proposals will be funded. This means that in the case of submitting a joint multi-disciplinary proposal, a group of Egyptian scientists of different fields of specialization whether from the same institution or from different institutions, as well as a corresponding group of US scientists, all working together jointly on one project, may request a total budget of up to \$180,000. A Multi-Cooperator proposal must show a value added and a justification of the need for this type of cooperation. It must also show a technological component, i.e. the project must be composed of research as well as a technology component.

Each of the US and Egyptian groups must designate a corresponding coordinator whose institute will act as the coordinating institute. The total project funds on each side will be released to the coordinator institution and the contract will be signed by the coordinator and his institution which will be responsible for fund disbursement to other cooperators as well as presentation of joint technical and financial reports to the corresponding Administrator.

The Multi-Cooperator proposal format should include:

#### SECTION I. COVER PAGE:

- 1.a. Cover Page signed by *both* coordinators and their institutional representatives and stamped with the Egyptian institution stamp (Attachment I.a. ).
- 1.b. Egyptian Cooperating Institutes and Research Teams (Attachment I.b.)
- 1.c. American Cooperating Institutes and Research Teams (Attachment I.c.)

#### SECTION II. NARRATIVE PROPOSAL:

The narrative section of the proposal must not exceed 30 single-spaced typed pages.

- 2. Table of Contents (maximum 2 page)
- 3. Background (maximum 4 pages)
- 4. Project Abstract (one paragraph, Attachment II) for research proposals,
- 5.a. Statement of Scientific or Technical Problem (maximum 2 pages)
- 5.b. Impact on socio-economic aspects
- 6. Project Description and *Detailed* Plan of Work (8 pages)
- 7. Statement of the Role of Each Coordinator and Cooperator (maximum 3 page)
- 8. Statement of the Nature/Degree of Collaboration (maximum 2 pages)
- 9. Statement on the Participation of Junior Scientists (maximum 2 pages)
- 10. List of Facilities Involved/Available

#### SECTION III. BUDGET:

11. Summary Budget and Detailed Annual Budgets, calculated in U.S. dollars (see budget format in Attachment III.b and c.). The total requested budget (U.S. and Egypt costs combined) must not exceed U.S. \$180,000 for the entire grant period.

The budget sheet must be signed by both coordinators and stamped with the Egyptian institution stamp.

#### SECTION IV. ATTACHMENTS:

- 12. Curricula Vitae of *all* U.S. and Egyptian coordinators and cooperators (maximum 2 pages per person, see Attachment IV)
- 13. Bibliography/References
- 14. Supporting Correspondence from all Participating Institutions (see Attachment XII)
- 15. Priority Research Area Selection Sheet (see Attachment IX)

#### **Explanation of Proposal Sections**

- **1. General Information**. The *required* formats are given in Attachments I.a, b and c. Its essential elements are:
  - Title of proposed project.
  - Brief, professionally informative title.
  - Complete names, mailing addresses, phone, fax, and e-mail of coordinators.
  - Signatures of the **U.S. and Egyptian coordinators** as well as of an official authorized to represent the coordinator grantee institutions in administrative and financial affairs and stamped with the Egyptian institution stamp (Attachemnt I.a, b. and c.). Proposals lacking these signatures and endorsements will be considered incomplete. Lack of signatures and institution stamp is a top reason that proposals may fail to advance to the review process.
  - U.S. applicants are requested to check the box identifying the U.S. technical agency, if any, with which they have previously been in contact regarding the proposal. Proposals may be directed to this agency for review.
  - Total estimated budget and annual budget subtotals for multi-year projects, calculated in U.S. dollars. The total requested budget (U.S. and Egypt costs combined) must not exceed U.S \$180,000 for the entire grant period. The budget formats are included as Attachment III.a., b. and c. of this Announcement.
  - Total planned duration of the activity (maximum three years).
- 2. Table of Contents. Maximum 2 pages.
- **3. Background**. Maximum 4 pages. This section should provide an informative background of the subject to be addressed in the project, summarize previous scientific research on the topic, and describe other ongoing projects or efforts that this project would complement. The Background should also explain how the U.S. and Egyptian coordinators came to work together on this proposal.
- **4. Project Abstract (for Research Proposals).** The Project Abstract is a condensed version of the Project Description a short summary in English and Arabic in terms comprehensible to an informed layman, not exceeding 1 paragraph (see Attachment II).
- **5.a.Statement of Scientific or Technical Problem.** Maximum 2 pages. The proposal should concisely state the scientific or technical problem to be addressed by the project. This section

must also identify the multi-disciplinary nature of the proposed project as well as the potential future applications or uses of the proposed research.

- 5.b.This section should show the scientific, social and economic impacts of the proposed research project. List of sectors benefiting from the research proposal as well as relevant statistics substantiating the important role of the proposed project must be included.
- 6. Project Description and Detailed Plan of Work. Maximum 8 pages. The Project Description is the core of the proposal. It must satisfactorily answer the questions what, why, how, who, where and when. It consists of a thorough narrative statement about the nature and significance of the technical problem, and the hypothesis, objectives, and methodology of the proposed work. A well prepared Project Description does the following:
  - Relates the proposal to the present state of knowledge in the field.
  - Specifies the technical approach and experimental methodologies and procedures to be followed.
  - Outlines a detailed Plan of Work, including a time schedule of tasks showing the financial and technical resources involved.
  - Identifies the participants.
  - Details the expertise of the respective U.S. and Egyptian cooperators.
  - The proposal must also clearly demonstrate how the activity will benefit the private sector, and if appropriate, how the technology will be transferred to the private sector.
- 7. Statement of the Role of Each Coordinator and Cooperator. Maximum 3 pages. This section should clearly state the role of each coordinator and cooperators, including a description of the complementary tasks to be performed by the U.S. and Egyptian cooperating persons and institutions.
- **8. Statement of the Nature/Degree of Collaboration.** Maximum 2 pages. This section defines the cooperative aspects of the proposed project. It should:
  - Describe the manner in which the joint activity manifests itself, e.g., joint contributions, complementary research, exchange of materials or equipment, use of special research facilities, exchange of scientists, etc.
  - Describe previous cooperation, if any, between any of the coordinators and/or cooperators with explicit references to previous joint projects.
  - Explain the benefits of the international cooperation (e.g., complementary research, sharing of facilities, unique research, environment, respective roles of the U.S. and Egyptian cooperators in the work plan, benefit to the private sector/industry, etc.).
- 9. Statement on the Participation of Junior Scientists. Maximum 2 pages. This section describes junior scientist involvement and participation in the proposed project. For reference, junior scientists include undergraduate or graduate students or researchers who received their PhD within the last 10 years. The participation of such junior scientists should enhance their scientific development, and they should be actively engaged in the joint research project. Further, it is encouraged that these young scientists be able to visit the counterpart laboratories during the project, and that their names be included in the proposal application.

- 10. List of Facilities Involved/Available. This section lists and describes the facilities and instrumentation to be used. It explains how their use will contribute to collaboration between the principal investigators, if applicable. It must include a statement about the use of human subjects or tissues or animals, if these are to be used.
- 11. Summary Budget and Detailed Annual Budgets. The use of the budget format given in Attachment III.a.,b. and c. are required.
  - Proposals must include a cumulative summary budget covering the duration of the proposed project, as well as separate itemized budgets for each year of support requested.
  - Both U.S. and Egyptian costs must be calculated in U.S. dollars.
  - All Budget pages must be signed by both coordinators and cooperators and stamped with the Egyptian institution stamp for all participating institutions.
  - Applicants must provide a narrative justification for budget items over \$1,000.
  - The total requested budget (U.S. and Egypt costs combined) must not exceed U.S. \$180,000 for the entire grant period. Proposals whose budgets exceed U.S.\$180,000 will be screened out by the Program Administrators and will not be forwarded to the technical agencies for review.
- 12. Curricula Vitae. Maximum 2 pages per person. The Curriculum Vitae of the U.S. and Egyptian coordinators and cooperators should follow the format provided in Attachment IV.
- 13. Bibliography/References. A Bibliography of literature pertinent to the proposed activity, footnoted as appropriate, is required.
- 14. Supporting Correspondence. Proposals should also include support letters from coordinator as well as cooperator institutes expressing professional interest in the topic and agreement to the tasks assigned to each coordinator and cooperator (Attachment XII a. and b.)
  - Signatures of the **U.S. and Egyptian cooperators** as well as of an official authorized to represent the cooperators' institutions in administrative and financial affairs and stamped with the institution stamp (Attachments XII.a.and b.). *Proposals lacking these signatures and endorsements will be considered incomplete. Lack of signatures and the institutions' stamp is a top reason that proposals fail to advance to the review process.*
- 15. Priority Research Areas. The Priority Research Areas sheet provided in Attachment X allows the applicant to identify the specific research area of the proposed project and helps the Joint Fund Administrators to direct the proposal to the appropriate technical agencies for review. All applicants *must* check one box. For research areas considered Other Fields (e.g. geology, anthropology, economics, new materials and nanotechnology, other Social Sciences etc.), please write-in the appropriate topic in section G.

# ADDITIONAL PROVISIONS (TC "SECTION III: ADDITIONAL PROVISIONS") (FOR PROPOSAL FORMATS "A" AND "B")

#### **REVIEW AND EVALUATION**

The Joint Board will identify technical agencies to receive proposals to conduct an evaluation and review. Such evaluations and reviews will be provided gratis by the participating technical agencies in the United States and Egypt. All proposals from all organizations that meet the requirements of the Joint Science and Technology Program will undergo such peer review. Once a proposal is received, judged to conform to the requirements of the Program, and accepted officially by the Program Administrators, it will be distributed to the appropriate U.S. and Egyptian participating technical agencies for concurrent review of scientific merit and assessment of mutual benefit to both countries. At the end of the grant cycle, applicants may request copies of their proposal reviews from the Joint Fund's Program Administrators, who will delete the reviewer's name and any other identifying information. A copy of the Rating/Review Form to be used by proposal reviewers is attached as a reference (Attachment VI).

The review process must guarantee that the information will be treated in utmost confidence if submitters have identified proprietary information in the proposals. *No funds will be disbursed to support activities that may produce intellectual property, unless such intellectual property is protected under both U.S. and Egyptian laws* (see Attachment VIII). In all other cases, proposals will be sent to at least two independent reviewers who are asked to evaluate the following criteria:

- A. Nature/Degree of Cooperation: This criterion is used to assess the strength of cooperation between the Egyptian and American investigators and the manner in which the joint research effort manifests itself, e.g., joint contribution, complementary research, exchange of materials or equipment, use of special research facilities, exchange of scientists, etc. For Multi-Cooperator proposals, the degree of cooperation between the coordinators as well as the cooperators of research teams must be clearly addressed.
- B. Intrinsic Scientific or Technical Merit: This criterion is used to assess the likelihood that the proposed activity, as described in the written proposal, will yield contributions, discoveries, or advances of significance in its area or related field. In Multi-Cooperator proposals, the impact on scientific, social and economic aspects should be specifically considered.
- C. Technical Performance, Competence, and Feasibility: This criterion relates to the professional capabilities of the Egyptian and U.S. experts, the adequacy of their institutional resources, and the technical defensibility of the proposal. Renewal requests are judged mainly on performance during the preceding grant period.
- D. Relevance and Significance of International Cooperation: This criterion applies to the Egyptian and U.S. partners and their expected contributions to the goals of both sides arising from the science and technology priorities and the mutual benefits anticipated from the cooperation.

- E. Evaluation of the Budget: This criterion is used to assess whether the budget is fully justified, well arranged, appropriate to the goals of the Joint Fund, and the administrative requirements described above; evaluates the potential benefit relative to cost.
- F. Infrastructure Effects: This criterion relates to the potential of the proposed activity to contribute to the effectiveness of research management or technology applications, information dissemination, and development of scientific resources of Egypt and the United States.
- G. Involvement of Junior Scientists: This criterion relates to how the proposed project will encourage the participation of junior scientists. For reference, junior scientists include those from undergraduate student level through graduate level, and up to those researchers who have received their Ph.D.'s less than ten years ago. The participation of such junior scientists should enhance their scientific development, and they should be actively engaged in the joint research project. Further, it is encouraged that these young scientists be able to visit the counterpart laboratories during the project, and that their names be included in the proposal application.
- H. Plagarism: A proposal that is shown to include plagarism would not be considered for support, and the submitting scientist(s) may be subject to further adverse actions through the US and Egyptian Government agencies and/or their institutions. Plagarism is "the appropriation of another person's ideas, processes, results or words without giving appropriate credit".
- I. Human Subjects Research: Any research proposal using human subjects (see definition Attachment XI) submitted to the U.S.-Egypt Joint Fund for consideration must show institutional review board (IRB) certification. For Egyptian scientists, this certification must be provided at the time of proposal submission. For U.S. scientists, certification may be provided at the time of proposal submission or during the review process. It will be required for making an award. For detailed information consult <a href="http://www.nsf.gov/bfa/dga/policy/guidance.htm">http://www.nsf.gov/bfa/dga/policy/guidance.htm</a> human or <a href="http://ohrp.osophs.dhhs.gov/humansubjects/guidance/45cfr46.htm">http://ohrp.osophs.dhhs.gov/humansubjects/guidance/45cfr46.htm</a> .

The ratings, or rank ordered lists from the U.S. and Egyptian reviewing agencies, will then be compared, and those rated highest by both sides will be recommended for funding. Some adjustment may be made for priority area focus, program balance, budgets, or any unique opportunities provided by a given proposal, but in general, assessment of scientific merit will be the prime determinant in recommending a proposal for funding. In order to facilitate this process, U.S. and Egyptian technical agency representatives (counterparts) should meet and finalize a list of proposals to recommend to the Joint Board.

#### RENEWALS AND NO-COST EXTENSIONS

**{tc "RENEWALS AND NO COST EXTENSION" \lambda 2}**Renewal requests will be processed and reviewed as new proposals. They must comply with all applicable procedures, format and budget requirements, and in addition must contain a separate section on "Results of Prior Support."

The Board may approve requests to extend a project's duration at no additional cost. Request for a no-cost extension (extension of time without additional funds other than previously provided by the award) must be recommended by the participating U.S. and Egyptian technical agencies, and endorsed by the Joint Board.

### DECLINATIONS AND WITHDRAWALS{TC "DECLINATIONS, RETURNS AND WITHDRAWALS" \L 2}

An applicant whose proposal for Joint Fund support has been declined will receive a formal letter of the decision (with reviews attached if possible) through the Program Administrators. Declinations or returns do not reflect negatively upon the applicant. Such proposals may be resubmitted for consideration, however, only after they have undergone appropriate revision. Revised or new proposals are judged individually on their merits and then competitively against evaluation criteria mentioned above.

An applicant may withdraw a proposal by written request at any time before a final decision is reached. Such requests are acknowledged in writing by the Program Administrators.

#### NOTICE OF GRANT AWARD (TC "NOTICE OF GRANT AWARD" \L 2)

Award decisions are announced by a letter addressed to the grantee and his/her institution issued by the Executive Agents (see Attachment VII). Awardees receive a grant letter specifying the title, duration, and total funding level of the project, and the "Terms and Conditions" of the award. Award Letters must be signed by the coordinator and by the grantee institution and returned to the Administrators. Signature will constitute mutual legal commitment to the "Terms and Conditions" of the award.

## FUNDS/PROJECT ADMINISTRATION{TC "FUNDS/PROJECT ADMINISTRATION" \L 2}

- The coordinator is responsible for the administration of grant funds as well as the timely submission of reports and renewal requests. Signed endorsement and validation by the coordinator and the grantee institution are required in all financial matters relating to the award. Determination of scientific activities and modifications during the project are the joint responsibility of the Egyptian coordinator and his or her U.S. counterpart. It is also the Egyptian and U.S. coordinator's responsibility to agree on travel schedules well in advance.
- The coordinator institution is legally accountable for financial oversight of the grant. All
  proposals are assumed to have the financial endorsement of the coordinator's institutions from
  the time of submission.
- For Multi-Cooperator proposals, the project coordinator and the coordinator's institute are responsible for funds/project administration. Therefore, all involved cooperators are to report financially and technically to the project cooperator.
- The management unit processes requests or reports presented by the coordinators only (not the cooperators)
- Applicants should be aware that U.S. coordinators can expect to receive their award funds through a U.S. funding agency in the summer or fall of 2006. Egyptian coordinators normally do not receive their funds until the spring or early summer of the

following year (spring/early summer 2007). U.S. and Egyptian coordinators should talthis timing into account when jointly planning their project start-up.							

## GRANT TERMS AND CONDITIONS" $\L 2$

- Projects are approved by the U.S. Egypt Joint Board on Scientific and Technological Cooperation.
- A project may be modified or amended only with the prior written agreement of the technical agencies on both sides and approval of the Joint Board. A letter requesting a modification or amendment should be sent to the Program Administrators. The Program Administrators will send copies of the request to the appropriate U.S. and Egyptian technical agencies for consideration. The participating technical agencies will make a recommendation to the Joint Board at the subsequent session whether to approve the modification. If the modification or amendment is time-sensitive and requires action prior to the next Joint Board Meeting, approval may be granted by the Executive Agents, based on recommendations by the technical agencies.
- In those cases where, in the course of executing the project, both sides agree in writing that the results obtained do not correspond to the ultimate goal of the project, or when the goal has lost its scientifically or technically innovative character (e.g., by virtue of more innovative solutions reached outside the collaboration), the project may be terminated or changed in scope.
- Financial documentation will be retained in usable form for a minimum of three years from the completion date of the project or until resolution of all open issues. Obligations and disbursements will be confirmed by receipts or other appropriate documents.
- Individual or joint publications or any other written material (e.g., invitation cards for workshops/seminars) arising from activities financed wholly or in part by the Joint Fund should acknowledge the Fund's institutional support in the following manner: "This publication (workshop/seminar) is sponsored by the U.S.- Egypt Science and Technology Joint Fund in cooperation with (name of U.S. and Egyptian agencies) under Project (number)."
- Project coordinators must provide the Program Administrators with three copies of any individual or joint publication and/or notification on filed or granted patents arising from activities financed wholly or in part by the Joint Fund, even after the completion of the project.
- All work financed from the Joint Fund must be carried out in full compliance with the pertinent laws and regulations of both countries. This will be emphasized in projects that may have an environmental impact, introduce exotic biological entities into either country, or involve the use of human subjects or laboratory animals.

#### REPORTING REQUIREMENTS

#### **Joint Research Projects**

For U.S. and Egyptian Coordinators:

All projects are required to submit an Annual Performance and Financial Report that is prepared jointly by the U.S. and Egyptian Coordinators. This report should be brief and:

- Show the starting and ending dates of the grant in Egypt and the United States.
- Summarize the objectives of the project.
- Describe activities conducted to date. For meetings, workshops, conferences, or travel, indicate the number of participants, their institutions, and the relevant dates.
- Indicate positive results that were achieved, including publications or other deliverables.
- Describe any problems that were encountered.
- List equipment purchased and/or used.
- Include a financial report showing (1) The total grant amount, (2) The amount of funds received from your technical funding agencies to date, and (3) The amount expended to date, by budget line item.
- Incorporate information from and include the signatures of both the U.S. and Egyptian Coordinators.

For one-year grants, the Annual Report is due within one month of the project's conclusion.

For two-year grants, the Annual Report is due by March 1 of the first grant year. For three-year grants, the first Annual Report is due by March 1 of the first grant year, and the second Annual Report is due by March 1 of the following year. It is recognized that of necessity the first Annual Report will cover a period less than a full year. In addition, a Final Report covering activities, results, and financial expenditures for the entire grant period is required within one month of a project's conclusion. A separate Annual Report for the final year of the project is not required since that year's activities will be covered by the Final Report. Grant payments for the second and third years of multi-year grants cannot be made or authorized without receipt and approval of the required Annual Reports.

It is the responsibility of the U.S. and Egyptian Coordinators and their institutions to assure timely and accurate submission of all reports. 5 copies in English as well as one CD containing a copy of the report should be sent to the Egyptian Program Administrator, and 1 copy as well as one CD containing a copy of the report to the American Program Administrator. Reports will be forwarded to the relevant technical funding agencies:

#### Mailing from Egypt:

Dr. Mohamed Swellam Egyptian Program Administrator Science & Technology Center Ministry of Scientific Research 101 Kasr El Aini Street, 12th floor Cairo, Egypt

Tel: (2) (02) 792-1323/4 Fax: (2) (02) 792-1325 Email: stc@asrt.sci.eg Mailing from the United States:

Via Express Courier
Ms. Joan Mahoney
US Joint Fund Administrator
USAID Building
Ahmed Kamel Street
Off Leselki Street
New Maadi, Cairo, Egypt

Tel: +(2) (02) 522-7000 Fax: +(2) (02) 522-7041

Email: mahoneyjm@state.gov

Via U.S. Post Office – First Class

USAID/HR/ET Unit 64902 APO, AE 09839-4902

Copies of subsequent publications resulting from the project should be sent to this address as well.

#### Additional Requirements for Egyptian Coordinators:

In addition, Coordinators in Egypt are required to submit separate fiscal reports that cover the Egyptian coordinator's activities only. The Egyptian Program Administrator will notify grantees of the format and due dates for these reports.

### ATTACHMENT I.A. RESEARCH PROPOSAL COVER PAGE

. Date Recei	ved (For	Program Admir	istrator Use)		2. I.D. N	Number	Program Admin	istrator Lisa)	
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Arabic I	itle								
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Arabic S	Short Ti	tle	<del></del>			<del></del>			
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a. Name					_ 4b				
a. Title									
a. Institutio	n				_ 6b				
a. Address					_ 7b				
a. Telephon									
0a. E-Mail									
1a.									
	(Signatu	re of Egyptian				(Signature of U.S. Coordinator)			
2a.	(Signatu	re of Institution	Date al Director/Fisc	eal Officer)	_ 12b	(Signature of Ins	titutional Directo	Date:	
3a. Name									
	(Institut	ional Director/F	iscal Officer)			(Institutional Dir	ector/Fiscal Offic	cer)	
4a. Title					_14b				
5a. JR. Scie	ntist Na	me*	·		_ 15b	· · · · · · · · · · · · · · · · · · ·			
6. For U.S.	Coordin	ator If v	ou have bee	n in contact					
		-				e directed to	_		
OOE	EPA	NIH	NIS	Т	NSF	USI	DΑ	Other	
7. Budget Si	ишшагу					,000 for Mu		ceed \$60,000 or projects	
Year O		Year	Two	Year			Year Total	Project Total	
Essent (C) I	U.S. (\$)	Egypt (\$)	U.S. (\$)	Egypt (\$)	U.S. (\$)	Egypt (\$)	U.S. (\$)	Egypt + U.S.	
Egypt (\$) U	•								

#### INSTRUCTIONS FOR COMPLETING PROJECT PROPOSAL COVER PAGE

- 1. DATE RECEIVED Leave blank for Program Administrators.
- 2. ID NUMBER Leave blank for Program Administrators.
- 3a TITLE OF PROPOSED PROJECT Brief descriptive title of proposed project. Please write title in Arabic and English
- 3b. SHORT IDENTIFICATION TITLE Short (30 characters or less) title of project comparable to a journal article heading. Please write short title in Arabic and English.
- 4a-b. NAMES OF EGYPTIAN AND U.S. COORDINATORS Use the following format: Last name, first name, middle initial as in: Smith, John K.
- 5a-b. TITLE Professional titles of respective coordinators.
- 6a-b. NAMES OF RESPECTIVE INSTITUTIONS Provide a high-level, most commonly recognized affiliation and the department of the institution.
- 7a-b. Brief Mailing Address Provide an accurate and complete mailing address. If appropriate, include building and room numbers. Include postal codes.
- 8a-b. RESPECTIVE TELEPHONE NUMBERS For reaching the coordinators.
- 9a-b. RESPECTIVE FAX NUMBERS For reaching the coordinators.
- 10a-b. INTERNET ELECTRONIC MAIL ADDRESSES Include electronic mail (Internet) addresses.
- 11a-b. RESPECTIVE SIGNATURES Of Egyptian and U.S. coordinators.
- 12a-b. RESPECTIVE SIGNATURES Of institutional authorities indicating institutional approval that the proposal merits consideration, including Egyptian institutional stamp, and that the core activities to which this proposal requests add-on funds to support bilateral cooperation are or will be funded.
- 13a-b. Typed Names Of 12a-12b.
- 14a-b. RESPECTIVE TITLES Professional titles for individuals in 13a-13b.
- 15a-b. JUNIOR SCIENTIST NAME(S) Of Egyptian and/or U.S. coordinators.
- 16. FOR U.S. COORDINATOR Indicate the appropriate U.S. reviewing agency, if applicable.
- 17. BUDGET SUMMARY Summarize budget requested for Years One, Two, and Three (if needed). "Project Total" is the combined Egypt and U.S. costs over the entire grant period and must not exceed \$60,000 for Dual-Cooperator projects and \$180,000 for Multi-Cooperator projects. Show all amounts in U.S. dollar equivalents only.
- 18. Total YEARS DURATION Up to 3 years.

## ATTACHMENT I.B. (MULTI-COOPERATOR GRANTS ONLY) EGYPTIAN COOPERATING INSTITUTES AND RESEARCH TEAMS

Dr. "Egyptian Coordinator" has composed the Egyptian corresponding research team as follows:

	Name	Institute
1.		
2.		
3.		
4.		
5.		
according	cooperate with each of them in exect g to the terms and budgets indicated Coordinator:	
Signatur	e:	
Head of l	Egyptian Coordinating Institute:	
Signatur	e:	

## ATTACHMENT I.C. (MULTI-COOPERATOR GRANTS ONLY) U.S. COOPERATING INSTITUTES AND RESEARCH TEAMS

#### Dr. "US Coordinator" has composed the U.S. corresponding research team as follows:

	Name	Institute	]
1.			
2.			
3.			
4.			
5.			1
	ordinator:	gets indicated in this proposal.	
C	f U.S. Coordinating Inst	itute:	
	C .		

#### ATTACHMENT II RESEARCH PROPOSAL ABSTRACT

U.SEGYPT SCIENCE AND TECHNOLOGY PROGRAM	ID#_	
		(For Program Administrator Use)
ABSTRACT IN ENGLISH:		
ABSTRACT IN ARABIC:		
PROPOSED U.S. TECHNICAL AGENCY FOR REVIEW:		
The cold of the manufacture of the tier.		
Dronogen Formaria Trongent A		
PROPOSED EGYPTIAN TECHNICAL AGENCY FOR REVIEW	:	

### ATTACHMENT III.A. PROPOSED BUDGET

Note: List all amounts in U.S. dollar equivalents only, not Egyptian pounds. "Project Total" must not exceed \$60,000 <sup>1</sup> .		Year 1 (\$)		Year	Year Two (\$)		Year Three (\$)		Three-Year Total (\$)	
		Egyptian	U.S.	Egyptian	U.S.	Egyptian	U.S.	Egyptian	U.S.	U.S. + Egypt
Travel	1. Domestic Travel									
	2. Per Diem, Domestic									
	3 International Airfare									
	4. Per Diem, International									
	5. JR. Scientist Int'l travel & per diem									
	6.JR. Scientist domestic travel & per diem									
Travel Cost	s Total (A)									
Equipment	1. Instruments									
	2. Spare Parts									
	3. Materials and Supplies									
Equipment	Costs Total (B)									
Other Direct	1. Post Docs/Technicians									
Costs	2. Computer Services									
	3. Publication Costs									
	4. Workshop Venue/Breaks									
	5. Other (Describe) <sup>2</sup>									
Other Direc	et Costs Total (C)									
Institutional	l Indirect Costs (D)			1						
Grand Tota (A+B+C+D)	ll Project Costs (E)	\$	\$	\$	\$	\$	\$	\$	\$	\$

<sup>&</sup>lt;sup>1</sup>Provide justification for all budget items over \$1,000 on a separate sheet of paper. <sup>2</sup> Describe additional costs if necessary on a separate sheet of paper.

## ATTACHMENT III.B. (MULTI-COOPERATOR GRANTS ONLY) PROPOSED BUDGET

<b>Egyptian Coordinator Signature</b>	Project Title	
-8, F		

equiva	ll amounts in U.S. dollar valents only, not Egyptian		Year 1			Year 2	Year 2			Year 3			
pound excee	ds. "Project Total" must not ed \$60,000 <sup>1</sup> .	Egyptian Coordinator	Egyptian Cooperator 1	Egyptian Cooperator 2	Egyptian Coordinator	Egyptian Cooperator 1	Egyptian Cooperator 2	Egyptian Cooperator 2	Egyptian Coordinator	Egyptian Cooperator 1	Coordinator & Cooperators		
Travel	1. Domestic Travel												
	2. Per Diem, Domestic					1							
	3 International Airfare												
	4. Per Diem, International					<u>'</u>							
	5. JR. Scientist Int'l travel & per diem												
	6.JR. Scientist domestic travel & per diem												
<b>Travel Costs</b>	s Total (A)				'	<u> </u>							
Equipment	1. Instruments												
	2. Spare Parts												
	3. Materials and Supplies			<u> </u>	'	'							
Equipment (	Costs Total (B)		<u> </u>	<u></u>		<u>'</u>							
Other Direct	1. Post Docs/Technicians					<u>'</u>							
Costs	2. Computer Services					1							
	3. Publication Costs												
	4. Workshop Venue/Breaks												
	5. Other (Describe) <sup>2</sup>					<u> </u>							
Other Direct	et Costs Total (C)					'							
Institutional	l Indirect Costs (D)				1	'							
Grand Total (A+B+C+D)	l Project Costs (E)	\$	\$	\$	\$	\$		\$	\$	\$	\$		

<sup>&</sup>lt;sup>1</sup> Provide justification for all budget items over \$1,000 on a separate sheet of paper. <sup>2</sup> Describe additional costs if necessary on a separate sheet of paper.

## ATTACHMENT III.C. (MULTI-COOPERATOR GRANTS ONLY) PROPOSED BUDGET

U.S. Coordinator Signature	Project Title	
- · · · · · · · · · · · · · · · · · · ·		

Note: List all amounts in U.S. dollar equivalents only, not Egyptian pounds. "Project Total" must not exceed \$60,000 <sup>1</sup> .			Year 1			Year 2			Three Year Total		
		U.S. Coordinator	U.S. Cooperator 1	U.S. Cooperator 2	U.S. Coordinator	U.S. Cooperator 1	U.S. Cooperator 2	U.S. Cooperator 2	U.S. Coordinator	U.S. Cooperator 1	Coordinators & Cooperators
Travel	1. Domestic Travel										
	2. Per Diem, Domestic										
	3 International Airfare										
	4. Per Diem, International										
	5. JR. Scientist Int'l travel & per diem										
	6.JR. Scientist domestic travel & per diem										
Travel Costs	s Total (A)										
Equipment	1. Instruments										
	2. Spare Parts										
	3. Materials and Supplies										
<b>Equipment</b>	Costs Total (B)										
Other Direct	1. Post Docs/Technicians										
Costs	2. Computer Services										
	3. Publication Costs										
	4. Workshop Venue/Breaks										
	5. Other (Describe) <sup>2</sup>										
Other Direct	t Costs Total (C)										
Institutional	Indirect Costs (D)										
Grand Total (A+B+C+D)	Project Costs (E)	\$	\$	\$	\$	\$		\$	\$	\$	\$

<sup>&</sup>lt;sup>1</sup>Provide justification for all budget items over \$1,000 on a separate sheet of paper. <sup>2</sup> Describe additional costs if necessary on a separate sheet of paper.

#### ATTACHMENT IV FORMAT FOR CURRICULA VITAE

Note: Maximum two pages per person.
NAME:
Address:
PERSONAL DATA (Date and place of birth, family status):
EDUCATION:
POSITIONS:
Awards:
Memberships:
APPOINTMENTS:
MAIN RESEARCH OR TECHNOLOGY TOPICS:
RECENT RELEVANT PUBLICATIONS/PATENTS (Maximum ten):

#### Attachment V Proposal Screening Checklist

(For use by Program Administrators)
(Extra information required for Multi-Cooperator Projects is between brackets)

Egyptian Coordinator		American Coordinator.		
I.D. Number		(assign only if all items below are checked)		
Proposals	Must Include:			
Section I.	Cover Page:			
	of cooperators on Attachment 1.b. (2) appropriate officials from the	Coordinator institutions including the Egyptian institution from the Cooperators institutions including the Egyptian		
Section II.	Proposal:			
	Background, maximum 2 pages (r	naximum 4 pages)		
	Abstract -1 paragraph- in Arabic a	and English		
	Statement of scientific or technical	problem, maximum 1 page (maximum 2 pages)		
	Statement, in detail, of work to be	done, maximum 4 pages (maximum 8 pages)		
	Description of what each Coordin maximum 1 page (maximum 3 page	ator (and cooperator) will do and nature of collaboration ges)		
	Statement on the Participation of J	unior Scientists		
Section II	I. Budget:			
	Funding requests for Egyptian and dollar quantities.	d American Coordinators (and cooperators) shown in U.S		
	Total proposal budget does not ex \$1,000 (for example, travel, equip	ceed \$60,000 (\$180,000). Justification for each item over ment, etc.).		
		(and Cooperators) including the Egyptian institution stamp (Attachment III.a, III.b. and III.c.).		
Section IV	. Other:			
	Supporting correspondence/Letters	s from coordinator (and cooperator) institutions.		
	Curriculum vitae from both Coord	inators (and cooperators, maximum 2 pages per person)		
	Curriculum vitae for other project	staff, if this is key for proposal review		
	Appropriate box checked on Priori	ty Research Areas list.		

Proposals not containing all of these essential elements will not be accepted for review.

#### ATTACHMENT VI RATING/REVIEW FORM

Proposal I.D. Number: Ratio		ing Scale:					
Short Title:  Egyptian P.I.  American P.I.  Check Appropriate Boxes: (See Review Request for explanation of criteria)	1 = Lowest Rating 2 = Poor 3 = Average (Not likely to be funded) 4 = Good (In competitive range for funded) 5 = Highest Rating		led)	ıg)			
		Lo	ow	Ave	rage	Hi	gh
Criteria		0	1	2	3	4	5
A. Nature/Degree of Cooperation							
B. Intrinsic Scientific or Technical Merit							
C. Technical Performance, Competence, & Feasibility							
D. Relevance & Significance of International Cooperation							
E. Budget Evaluation							
F. Infrastructure Effects							
G. Involvement of Junior Scientists							
<b>Overall Rating:</b> Using an average of the above scores, this proposal using "0" for disapproval or "1" to "5" in proposal using "0".						of	

**General Comments** in support of each of the seven categories above:

**Recommendation/Justification:** Does this project offer significant opportunities or benefits to the U.S. and Egypt that justify a rating of top priority? Or, briefly, why should this proposal not be recommended for funding?

### ATTACHMENT VII FORMAT: LETTER OF INTENT TO AWARD

To:	[Both the U.S. and Egyptic	an Coordinators will receive a l	etter notifying them of the award.]
It is our pleasurinstitution to c	re to inform you that the U.SEgyptonduct the joint research project	ot Joint Board decision of entitled	has awarded a grant to your . The period of your award is
		this award separately.	
This award is d	lesignated No:		
is under the dir		fied in the proposal. The Coord	The activity financed by this award dinators are directly responsible for
	er this award totals \$ will be provided to the U.S will be provided to the Egypt ly by the Ministry of Scientific Res	ian side through the Ministry of	S. dollars and Egyptian pounds. \$ I agency, and the equivalent of \$ f Scientific Research. You will be ency to sign a grant agreement.
one to the Egy U.S. and Egypt	ptian Coordinator. These two par	ts were determined by the availants are awarded separately to the	ts, one to the U.S. Coordinator and lability of Joint Board funds in the le U.S. and Egyptian Coordinators, I to by the two Coordinators.
additional prog		Administrators. Timely submi	signed grant letter as well as any ssion of progress and fiscal reports
investigators summer or f	can expect to receive their	r award funds through a cipal investigators normall	be aware that U.S. principal U.S. funding agency in the y do not receive their funds summer 2007).
	nis letter as soon as possible. Your grant letter. You and your institut		of the Grant Terms and Conditions a copy.
Sincerely,			
Ms. Joan Mahoney American Program Administrator (Counter signer)		Dr. Mohamed Swe Egyptian Program (Counter signer)	
Cooperator (Signature)		Type Name: Representative of (Signature)	Grantee Institution
Attachments:	Grant Terms and Conditions Reporting Requirements U.SEgypt Science and Technol	logy Program Contacts List	
CC:	U.S. Technical Agency Counterpart Coordinator		

## ATTACHMENT VIII U.S.-EGYPT SCIENCE AND TECHNOLOGY PROGRAM INTELLECTUAL PROPERTY RIGHTS ISSUES

In matters concerning protection of intellectual property, the cooperating institutions will be guided by the provisions of Annex A of the Agreement Between the Government of the United States and the Government of the Arab Republic of Egypt on Science and Technology Cooperation. However, no funds will be disbursed to support activities that may produce intellectual property unless such intellectual property is protected under both United States and Egyptian laws. Currently pharmaceuticals are not adequately protected under Egyptian law.

### ATTACHMENT IX PRIORITY RESEARCH AREAS

All applicants must check the appropriate box to aid in classifying the proposal for review:

A. BIOTECHNOLOGY					
A.1	Agriculture				
A.1.1	Insect Control				
A.1.2	Biofertilizers				
A.1.3	Animal/Aquaculture Productivity				
A.1.4	Plant Disease Resistance				
A.1.5 DNA Markers					
A.2 Health					
A.2.1	Diagnostics				
A.2.2	Vaccines				
A.2.3	Natural Products				
A.3	Industry				
A.3.1	Enzymes for Leather, Food, Detergents, & Textiles				
A.3.2	Citric Acid Production from				
	Carbohydrate By-Products				
A.3.3	Biodegradable Biopolymers				
A.4	Environment				
A.4.1	Bioremediation of Wastewater, Soil, & Sediments				
A.4.2	Commercial Composting				
A.4.3	Monitoring/Biosensors				
A.5	Basic Science				
A.5.1	Basic Science				
B. STANDAR	RDS & METROLOGY				
B.1	Physical Metrology				
B.2	Metrology & Standards				
B.3	Measurement Assurance Programs				
B.4	Informatics				
B.5	Materials Characterization				
B.6	Chemical Metrology				
B.7	Certified Reference Materials				
B.8	Conformity Assessment				
C. ENERGY					
C.1	Renewable Energy: Wind,				
C.1	Concentrator Technologies,				
	Concentrator Technologies, Photovoltaics, & Biomass				
C.2	Concentrator Technologies, Photovoltaics, & Biomass Energy Efficiency & Energy Storage				
	Concentrator Technologies, Photovoltaics, & Biomass Energy Efficiency & Energy Storage Fuel Cells for Power Production &				
C.2 C.3	Concentrator Technologies, Photovoltaics, & Biomass Energy Efficiency & Energy Storage Fuel Cells for Power Production & Automotive Technologies				
C.2 C.3	Concentrator Technologies, Photovoltaics, & Biomass Energy Efficiency & Energy Storage Fuel Cells for Power Production & Automotive Technologies Natural Gas Utilization Technologies				
C.2 C.3	Concentrator Technologies, Photovoltaics, & Biomass Energy Efficiency & Energy Storage Fuel Cells for Power Production & Automotive Technologies Natural Gas Utilization Technologies Clean Coal Technologies & Advanced				
C.2 C.3 C.4 C.5	Concentrator Technologies, Photovoltaics, & Biomass  Energy Efficiency & Energy Storage Fuel Cells for Power Production & Automotive Technologies Natural Gas Utilization Technologies Clean Coal Technologies & Advanced Combustion Systems				
C.2 C.3 C.4 C.5	Concentrator Technologies, Photovoltaics, & Biomass  Energy Efficiency & Energy Storage Fuel Cells for Power Production & Automotive Technologies  Natural Gas Utilization Technologies Clean Coal Technologies & Advanced Combustion Systems  Radioactive Waste Management				
C.2 C.3 C.4 C.5 C.6 C.7	Concentrator Technologies, Photovoltaics, & Biomass  Energy Efficiency & Energy Storage Fuel Cells for Power Production & Automotive Technologies  Natural Gas Utilization Technologies Clean Coal Technologies & Advanced Combustion Systems  Radioactive Waste Management Advanced Nuclear Reactor Safety				
C.2 C.3 C.4 C.5 C.6 C.7	Concentrator Technologies, Photovoltaics, & Biomass  Energy Efficiency & Energy Storage Fuel Cells for Power Production & Automotive Technologies  Natural Gas Utilization Technologies  Clean Coal Technologies & Advanced Combustion Systems  Radioactive Waste Management				

	D.1.1	Monitoring & MIS		
	D.1.2	Incineration of Hospital Wastes		
	D.1.3	Secure Landfill & Composting		
	D.1.4	Management of Hazardous Waste,		
		Exposure Reduction, Hospital Waste		
	D.2	Drinking & Wastewater		
	D.2.1	Drinking Water: Quality Control,		
	Microbial Identification			
	D.2.2	Wastewater: Small Community, Reuse,		
Removal of Chemical Contaminants				
	D.2.3	Industrial Wastewater: Management,		
		Pollution Prevention, Approaches for		
		Small Industrial Cities		
	D.3	Air Quality Management		
	D.3.1	Monitoring Systems, Air Dispersion		
		Modeling, & Risk Assessment		
	D.3.2	Residual Oil Combustion		
	D.3.3	Lead from Smelters & Gasoline		
	D.3.4	VOC, PM, NO, and CO from Cars &		
		Stationary Sources		
	D.3.5	Source Inventory & Registration		
	D.3.6	Impact on Monuments, Soils or Crops		
	D.3.7	Source Apportionment, Photochemical		
	Reactions, & Ozone			
	<b>D.4</b>	Clean Manufacturing Technologies		
	D.4.1	Hazardous Substances & Sludge		
		Management, Source Reduction		
	D.4.2	Product Improvement, Recycling,		
	D.4.2	Product Improvement, Recycling, Cleaner Technologies		
	D.4.2 D.4.3			
E. M	D.4.3	Cleaner Technologies		
<b>E.</b> M	D.4.3	Cleaner Technologies Small Industrial Clusters		
E. M	D.4.3	Cleaner Technologies Small Industrial Clusters CTURING TECHNOLOGIES		
<b>E.</b> M	D.4.3 IANUFACE.1	Cleaner Technologies Small Industrial Clusters CTURING TECHNOLOGIES Polymers		
E. M	D.4.3 IANUFACE.1 E.2	Cleaner Technologies Small Industrial Clusters CTURING TECHNOLOGIES Polymers Textiles		
E. M	D.4.3 IANUFAO E.1 E.2 E.3	Cleaner Technologies Small Industrial Clusters CTURING TECHNOLOGIES Polymers Textiles Paper & Pulp		
E. M	D.4.3 IANUFA E.1 E.2 E.3 E.4	Cleaner Technologies Small Industrial Clusters CTURING TECHNOLOGIES Polymers Textiles Paper & Pulp Environmental		
E. M	D.4.3 IANUFA E.1 E.2 E.3 E.4 E.5	Cleaner Technologies Small Industrial Clusters CTURING TECHNOLOGIES Polymers Textiles Paper & Pulp Environmental Ceramics & Glass		
E. M	D.4.3 IANUFA E.1 E.2 E.3 E.4 E.5 E.6	Cleaner Technologies Small Industrial Clusters CTURING TECHNOLOGIES Polymers Textiles Paper & Pulp Environmental Ceramics & Glass Minerals & Metallurgy		
E. M	D.4.3 IANUFA E.1 E.2 E.3 E.4 E.5 E.6 E.7	Cleaner Technologies Small Industrial Clusters CTURING TECHNOLOGIES Polymers Textiles Paper & Pulp Environmental Ceramics & Glass Minerals & Metallurgy Chemicals		
	D.4.3 IANUFACE E.1 E.2 E.3 E.4 E.5 E.6 E.7 E.8 E.9	Cleaner Technologies Small Industrial Clusters CTURING TECHNOLOGIES Polymers Textiles Paper & Pulp Environmental Ceramics & Glass Minerals & Metallurgy Chemicals Food Industry		
	D.4.3 IANUFACE E.1 E.2 E.3 E.4 E.5 E.6 E.7 E.8 E.9	Cleaner Technologies Small Industrial Clusters CTURING TECHNOLOGIES Polymers Textiles Paper & Pulp Environmental Ceramics & Glass Minerals & Metallurgy Chemicals Food Industry Building Materials TION TECHNOLOGY		
F. IN	D.4.3  IANUFA E.1 E.2 E.3 E.4 E.5 E.6 E.7 E.8 E.9  NFORMA F.1	Cleaner Technologies Small Industrial Clusters CTURING TECHNOLOGIES Polymers Textiles Paper & Pulp Environmental Ceramics & Glass Minerals & Metallurgy Chemicals Food Industry Building Materials TION TECHNOLOGY Information Technology		
F. IN	D.4.3  IANUFA E.1 E.2 E.3 E.4 E.5 E.6 E.7 E.8 E.9  NFORMA F.1	Cleaner Technologies Small Industrial Clusters CTURING TECHNOLOGIES Polymers Textiles Paper & Pulp Environmental Ceramics & Glass Minerals & Metallurgy Chemicals Food Industry Building Materials TION TECHNOLOGY		

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#### ATTACHMENT XI

Does your research involve human subjects?

The answer to this question may be self-evident for investigators who conduct their research in clinical contexts and collect data from research subjects who are also hospital or clinic patients. But many other types of research involve human subjects, often in ways that are not obvious.

Human subjects research is defined in the U.S. regulations as *research* that involves –"A living individual about whom an investigator conducting research obtains: (a) data through intervention or interaction with the individual, or (b) identifiable private information" (45 CFR 46.102(f))

Intervention includes both physical procedures by which data are gathered (for example, venipuncture) and manipulations of the subject or the subject's environment that are performed for research purposes, such as asking research subjects to participate in a focus group discussion. Interaction includes communication or interpersonal contact between investigator and subject (e.g. surveys and interviews). Private information includes information about behavior that occurs in a context in which an individual can reasonably expect that no observation or recording is taking place (such as information about the amount of alcohol someone consumes at home), and information that has been provided for specific purposes by an individual and which the individual can reasonably expect will not be made public (for example, a medical record). For the act of obtaining the information to constitute research involving human subjects, private information must be individually identifiable (i.e., the identity of the subject is or may readily be ascertained by the investigator or associated with the information). Secondary analysis of data and analysis of lab specimens are examples of research involving human subjects, if identifiable information is included.

(http://ohrp.osophs.dhhs.gov/humansubjects/guidance/decisioncharts.htm)

## ATTACHMENT XII.a. (MULTI- COOPERATOR GRANTS ONLY) SUPPORTING CORRESPONDENCE FROM ALL EGYPTIAN PARTICIPATING INSTITUTIONS

This letter certifies that the following researchers:

	Name	Participation as Cooperator or Junior Scientist	Signature
<b>A.</b>			
В.			
С.			
D.			
are	employed by "Institut	tion Name" and are going to cooperate	with the "Fountion
Coo	ordinator Name" as the	e Egyptian Coordinator for the project titled "  budget indicated in this research proposal.	
Coc " acc	ordinator Name" as the	e Egyptian Coordinator for the project titled " budget indicated in this research proposal.	~··
Coc " acc	ordinator Name" as the ording to the terms and ad of Institute	e Egyptian Coordinator for the project titled " budget indicated in this research proposal.	d:

## ATTACHMENT XII.b. (MULTI- COOPERATOR GRANTS ONLY) SUPPORTING CORRESPONDENCE. FROM ALL U.S. PARTICIPATING INSTITUTIONS

This letter certifies that the following researchers:

	Name	Participation as Cooperator or Junior Scientist	Signature		
<b>A.</b>					
В.					
C.					
D.					
are employed by "Institution Name" and are going to cooperate with Dr. "US Coordinator Name" as the American Coordinator for the project titled: " according to the terms and budget indicated in this research proposal.					
Head of Institute					
Nan	ne:				
Sigr	nature:				